



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/758,970	01/09/2001	Ronnie M. Harrison	500395.02

CONFIRMATION NO. 9636

## FORMALITIES LETTER



\*OC000000005795140\*

Kimton N. Eng, Esq.  
DORSEY & WHITNEY LLP  
Suite 3400  
1420 Fifth Avenue  
Seattle, WA 98101

Date Mailed: 02/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE


Adjustment date: 06/21/2001 NHASSANI  
05/01/2001 EABUBAKI 00000019 09758970  
01 FC:102 -160.00 OP  
02 FC:103 -234.00 OP

Repln. Ref: 06/21/2001 NHASSANI 0012124500  
DAH:501266 Name/Number:09758970  
FC: 704 \$394.00 CR

05/02/2001 AGOITON 00000020 09758970  
01 FC:101 710.00 OP  
02 FC:105 130.00 OP

0400 5-9-01

GAL-2819

 <b>FILE TRANSMITTAL SHEET</b> <b>(FOR FY 2001)</b>		Complete if Known																																																																																																																																																											
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: <u>50-1266</u> ; Deposit Account Name: <u>DORSEY &amp; WHITNEY LLP</u>		<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - Late filing fee or oath</td><td>\$</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>\$</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td>\$</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For Filing a Request for Reexamination</td><td>\$</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td>\$</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within 2<sup>nd</sup> month</td><td>\$</td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within 3<sup>rd</sup> month</td><td>\$</td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within 4<sup>th</sup> month</td><td>\$</td></tr> <tr><td>128</td><td>1,890</td><td>280</td><td>945</td><td>Extension for reply within 5<sup>th</sup> month</td><td>\$</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td>\$</td></tr> <tr><td>121</td><td>260</td><td>270</td><td>135</td><td>Request for oral hearing</td><td>\$</td></tr> <tr><td>148</td><td>110</td><td>248</td><td>55</td><td>Terminal Disclaimer Fee</td><td>\$</td></tr> <tr><td>140</td><td>10</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td>\$</td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td>\$</td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility/Reissue issue fee (+ advance copies)</td><td>\$</td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee (+ advance copies)</td><td>\$</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>\$</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td>\$</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of IDS</td><td>\$</td></tr> <tr><td>581</td><td>40</td><td>81</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>\$</td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td>\$</td></tr> <tr><td colspan="5">Other fee (specify)</td><td>\$</td></tr> <tr> <td colspan="2" style="text-align: right;"><b>Subtotal (3)</b></td> <td colspan="2"></td> <td></td> <td><b>\$0</b></td> </tr> <tr> <td colspan="2"></td> <td colspan="2" style="text-align: right;"><b>Total Amount of Payment:</b></td> <td></td> <td><b>\$394</b></td> </tr> </tbody> </table>		Large Entity		Small Entity		Fee Description	Fee paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	105	130	205	65	Surcharge - Late filing fee or oath	\$	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$	139	130	139	130	Non-English specification	\$	147	2,520	147	2,520	For Filing a Request for Reexamination	\$	115	110	215	55	Extension for reply within first month	\$	116	390	216	195	Extension for reply within 2 <sup>nd</sup> month	\$	117	890	217	445	Extension for reply within 3 <sup>rd</sup> month	\$	118	1,390	218	695	Extension for reply within 4 <sup>th</sup> month	\$	128	1,890	280	945	Extension for reply within 5 <sup>th</sup> month	\$	120	310	220	155	Filing a brief in support of an appeal	\$	121	260	270	135	Request for oral hearing	\$	148	110	248	55	Terminal Disclaimer Fee	\$	140	10	240	55	Petition to revive - unavoidable	\$	141	1,240	241	620	Petition to revive - unintentional	\$	142	1,240	242	620	Utility/Reissue issue fee (+ advance copies)	\$	143	440	243	220	Design issue fee (+ advance copies)	\$	122	130	122	130	Petitions to the Commissioner	\$	123	50	123	50	Petitions related to provisional applications	\$	126	180	126	180	Submission of IDS	\$	581	40	81	40	Recording each patent assignment per property (times number of properties)	\$	179	710	279	355	Request for Continued Examination (RCE)	\$	Other fee (specify)					\$	<b>Subtotal (3)</b>					<b>\$0</b>			<b>Total Amount of Payment:</b>			<b>\$394</b>
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Submitted by:

Name: Kimton N. Eng

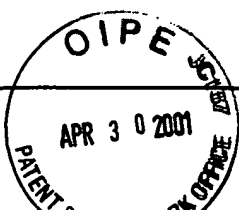
Reg. No.: 43,605

Telephone: (206) 903-8800

Signature: 

Date: April 27, 2001

 RECEIVED  
 MAY - 3 2001  
 TC 2800 MAIL ROOM



# FEE TRANSMITTAL SHEET (FOR FY 2001)

Complete if Known

Application No. 09/758,970  
Filing Date January 9, 2001  
First Named Inventor Ronnie M. Harrison  
Group Art Unit 2819  
Examiner Not Yet Assigned  
Atty. Docket Number 500395.02

## METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee
106	320	206	160	<input type="checkbox"/> Design Filing Fee
108	710	208	355	<input type="checkbox"/> Reissue Filing Fee
114	150	214	75	<input type="checkbox"/> Provisional Filing Fee

Subtotal (1) \$710

### 2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total 1	- 20	= 0	x \$	= \$ 0
Ind. 1	- 3	= 0	x \$	= \$ 0
Multiple Dependent Claims		x	\$	= \$

Subtotal (2) \$0

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - Late filing fee or oath	\$ 130
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581	40	81	40	Recording each patent assignment per property (times number of properties)	\$
179	710	279	355	Request for Continued Examination (RCE)	\$

Other fee (specify)

Subtotal (3) \$130

Total Amount of Payment: \$840

Submitted by:

Name: Kimton N. Eng

Reg. No.: 43,605

Telephone: (206) 903-8800

Signature: *Kimton N. Eng*

Date: April 23, 2001



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Commissioner of Patents, Washington, DC 20231.

April 23, 2001  
Date

Ayesha S. Wilks  
Ayesha S. Wilks

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant	: Ronnie M. Harrison	Attorney Docket No.	: 500395.02
Serial No.	: 09/758,970	Group Art Unit	: 2819
Filed	: January 9, 2001	Examiner	: Not Yet Assigned
Title	: METHOD AND APPARATUS FOR GENERATING A SEQUENCE OF CLOCK SIGNALS		

**GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)**

Box Missing Parts  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

With respect to the above-identified application, the Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1266.

Respectfully submitted,  
DORSEY & WHITNEY LLP

Kimton N. Eng  
Kimton N. Eng  
Registration No. 43,605

KNE:asw

Enclosure:

Postcard

1420 Fifth Avenue, Suite 3400  
Seattle, Washington 98101-4010  
Tel: (206) 903-8800  
Fax: (206) 903-8820

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PATENT

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April 23, 2001  
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Ayesha S. Wilks  
Ayesha S. Wilks

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant	: Ronnie M. Harrison	Attorney Docket No.:	500395.02
Serial No.	: 09/758,970	Group Art Unit	: 2819
Filed	: January 9, 2001	Examiner	: Not Yet Assigned
Title	: METHOD AND APPARATUS FOR GENERATING A SEQUENCE OF CLOCK SIGNALS		

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated February 23, 2001, please find enclosed a copy of Notice to File Missing Parts for the above-identified application.

Also enclosed is a General Authorization Under 37 C.F.R. § 1.136(a)(3).

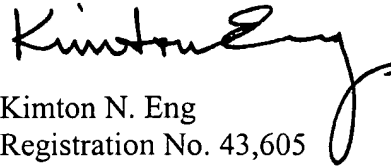
The fees have been calculated as follows:

Basic Fee	\$	710
Total Claims (1, 0 extra)		0
Independent Claims (1, 0 extra)		0
Missing Parts Surcharge		130
TOTAL	\$	840

Enclosed is a check in the amount of \$840 for the requisite fees. The Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this response is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP

  
Kimton N. Eng  
Registration No. 43,605

KNE:asw

Enclosures:

- Postcard
- Check
- Fee Transmittal Sheet (+ copy)
- Copy of this Response
- Copy of Notice to File Missing Parts
- General Authorization Under 37 C.F.R. § 1.136(a)(3)

1420 Fifth Avenue, Suite 3400  
Seattle, WA 98101

Tel: (206) 903-8800

Fax: (206) 903-8820

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